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MIT7759DIVCON
p3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Eugene A. Fitzgerald GROUP: Unknown

SERIAL NO: Unknown EXAMINER: Unknown

FILED: Herewith

FOR: CONTROLLING THREADING DISLOCATION DENSITIES IN
Ge ON Si USING GRADED GeSi LAYERS AND PLANARIZATION



Assistant Commissioner of Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

In compliance with 37 CFR §§1.56, 1.97 and 1.98, Applicants hereby identify the documents listed on the attached sheet one of Form PTO-1449, each of which has been provided in prior application Serial No. 09/712,604 of which this application is a continuation. Pursuant to 37 C.F.R. §1.98(d) copies of these documents are not enclosed.

Respectfully submitted,

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I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited on the date shown below in an envelope with the United States Postal Service as first class addressed to the Assistant Commissioner of Patents, Washington, D.C. 20231.

Deborah M. Costello

Date

12/17/01

FORM PTO-1449 SAMUELS, GAUTHIER & STEVENS LLP
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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

MIT7759DIVCON
ATTORNEY DOCKET NO.

Eugene A. Fitzgerald
APPLICANT

Herewith
FILING DATE

Unknown
SERIAL NO.

Unknown
GROUP

Unknown
EXAMINER

J1010 U.S. PTO
10/022689
12/17/01

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5,177,583	January 5, 1993	Endo et al.	257	190	January 10, 1991
	AB	5,298,452	March 29, 1994	Meyerson	437	81	February 21, 1992
	AC	5,630,905	May 20, 1997	Lynch et al.	438	507	June 5, 1995
	AD	5,906,951	May 25, 1999	Chu et al.	438	751	April 30, 1997
	AE	5,659,187	August 19, 1997	Legoues et al.	257	190	June 7, 1995
	AF	5,891,769	April 6, 1999	Liaw et al.	438	167	February 27, 1998
	AG	5,714,777	February 3, 1998	Ismail et al.	257	263	February 19, 1997
	AH	5,534,713	July 9, 1996	Ismail et al.	257	24	May 20, 1994
	AI	5,250,445	October 5, 1993	Bean et al.	437	11	January 17, 1992
	AJ	4,997,776	March 5, 1991	Haramé et al.	437	31	June 20, 1990
	AK	5,442,205	August 15, 1995	Brasen et al.			August 9, 1993
	AL	5,399,522	March 21, 1995	Ohuri			September 8, 1993
	AM	5,250,445	October 1993	Bean et al.	438	474	

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	AN	0 514 018 A2	16.04.92	EPO			
	AO	2 701 599	14.09.93	France			
	AP						

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL		
	AQ	Currie et al., "Controlling Threading Dislocation in Ge on Si Using Graded SiGe Layers and Chemical-Mechanical Polishing,": Vol. 72, No. 14, p. 1718-1720. February 1998.

EXAMINER

DATE CONSIDERED

EXAMINER:

Initial if citati n considered, wh ther or not citation is in conformance with
MPEP 609; draw lin through citation if n t in conformanc and not
consid red. Include c py f this form with n xt communicati n t applicant.